

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE
STATEMENT**

Docket Number:
12678/5

Application Number
To be Assigned

Filing Date
Herewith

Examiner
To be Assigned

Art Unit
To Be Assigned

Invention Title
**PROCESS FOR THE PREPARATION OF
5-CARBOXYPHTHALIDE**

Inventor(s)
Leone DALL'ASTA et al.

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Box Patent Application

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicant hereby bring the following references to the attention of the Examiner. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
2. Copies of each specified patent, publication or other information listed on the modified PTO form 1449 are not enclosed since they were previously cited by or submitted to the Patent Office in prior application Serial No. 09/690,301 (now U.S. Patent No. 6,458,973); and prior patent application Serial No. 10/227,038 (allowed) which is relied upon for an earlier filing date under 35 U.S.C. § 120.

Dated: March 8, 2004

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT FORM PTO 1449	Atty. Docket No. 12678/5	Serial No. To be Assigned
	Applicant(s) Leone DALL'ASTA et. al.	
	Filing Date Herewith	Art Unit To be Assigned

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE*
	3,607,884	September 21, 1971	Fomey			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 00/23431	Apr. 27, 2000	PCT				
	26 30 927	Jan. 19, 1978	Germany				
	WO 01/32642 A1	May 10, 2001	PCT				
	WO 01/32643 A1	May 10, 2001	PCT				
	WO 01/66536 A1	Sep. 13, 2001	PCT				

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
	Forney, Le Roy S. et al.: "Reaction of Formaldehyde with Deactivated Benzoic Acids. An ester-directed electrophilic aromatic substitution process" J. Org. Chem. (1971), 36 (5), 689-93, XP002145376
	Forney, Le Roy S.: "Reaction of Terephthalic Acid with Formaldehyde in sulfur Trioxide Media" J. Org. Chem. (1970), 35 (5) 1695-96, XP002145377
	J. R. Blanc et al., J. Org. Chem. 1961, 26, 4731-4733

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	